

# Graduate Study Manual

## 2019–2020

Electrical Engineering – MS and PhD

Department of Electrical & Computer Engineering

\*Effective Fall 2019 – Summer 2020

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## **Welcome**

As the Director of Graduate Studies (DGS) of the Department of Electrical and Computer Engineering (ECE) in Northwestern University's McCormick School of Engineering and Applied Science, it gives me great pleasure to welcome you. I wish you very successful and pleasant years at Northwestern University.

Sincerely,

Randy Freeman, Professor  
Director of Graduate Studies  
ECE Department  
Email: [freeman@eecs.northwestern.edu](mailto:freeman@eecs.northwestern.edu)

# 1. Overview

This manual provides detailed information about the educational opportunities in our graduate programs in electrical engineering. It includes descriptions of our curricula, suggestions for coursework, various options, and information about our faculty, computer facilities, and student activities.

The document is in full compliance with the guidelines provided by The Graduate School (TGS) and often refers to sources available through their website.

## 1.1 General Notes

In addition to the world-class educational opportunities to work with top faculty while accessing a wealth of facilities, research labs, and libraries for intellectual growth, Northwestern University offers a variety of services which can assist different aspect of student life.

### **Wildcard:**

The Wildcard is your photo identification card and can be used in almost every place that needs an identity verification on campus (library, recreational facilities, Norris University Center, campus, intercampus bus transit, etc.). It is issued by the Wildcard office in Norris University Center, underground level, Evanston campus, and at the University Services (support services) office in Abbott Hall, Room 100, Chicago campus. Lost or stolen ID cards are replaced for a \$15 fee. Broken or damaged cards will be replaced at no charge (providing the damaged card is returned).

### **Transportation:**

There are three basic services available:

- Shuttle buses – there are several shuttles that operate on the Chicago and Evanston campuses (and between the two) upon presentation of a Wildcard. Detailed information is available at: <http://www.northwestern.edu/userservices/transportation/shuttles/>
- The Route 201 CTA bus which offers free service to Ryan field and to the Old Orchard mall in Skokie upon presentation of a Wildcard.
- U-Pass -- U-Pass is a collaboration between Northwestern and CTA (Chicago Transit Authority) based on fare cards called [Ventra](#), a contactless payment system that serves as a U-Pass. The card is issued at the beginning of every academic year, and it can be used 365 days a year on all CTA buses *and* trains provided that students are registered full-time.

### **Health Services:**

Northwestern University provides basic outpatient care and other primary-care services, and there are facilities in both Evanston and Chicago campuses. The Evanston location is at 633 Emerson Street (Searle Building). Per TGS regulations, every graduate student is legally required to have health insurance coverage. While it is provided for PhD students and a partial coverage is available for MS students, students may opt out of this coverage as long as there is a proof of alternate coverage for the entire duration of graduate studies.

### **Counseling and Psychological Services (CAPS): (847-491-2151)**

CAPS provides a set of core mental health services on campus, including clinical services, educational workshops, and consultation with faculty and staff as needed. Services are free for all students and available on both the Evanston (633 Emerson St) and Chicago campuses (Abbott Hall, 5th Floor, Suite 500, #710 N. Lake Shore Drive) <https://www.northwestern.edu/counseling/>

## **Personal Safety:**

You should always be aware of your surroundings and avoid areas that have indication of being a potentially non-safe environment (e.g., poorly lit walkways and alleys at night). The University Police is on duty 24/7 and they are located at 1200 Davis St. in Evanston. In the case of emergency, always dial 911. Note that there are blue-light poles distributed across the University, which can also be used to contact the University police. The non-emergency contact number is 847-491-3456.

## **The Office of International Student and Scholar Services (OISS):**

The OISS is available to all the international students and its primary two roles are: (a) to provide guidance/advise for maintaining proper immigration status consistent with the laws of the United States; (b) to ensure compliance with those laws and help the students with various forms, such as OPT (Optional Practical Training) and CPT (Curriculum Practical Training). The OISS is located at 630 Dartmouth place and the regular hours of operations are M-F, 10AM-5PM.

## **The Graduate School (TGS) – other resources and information**

Every graduate student is assigned a counselor at TGS. The counselor monitors overall academic progress from the standpoint of TGS-based milestones, along with a satisfactory GPA, *etc.* Please be advised that most of the forms that concern completion of milestones are subject to a final approval by TGS in addition to being approved by your academic adviser and/or ECE department.

TGS is located at 633 Clark Street in Evanston, and its webpage: [www.tgs.northwestern.edu](http://www.tgs.northwestern.edu) contains a wealth of information pertaining to various aspects of students' life, some of which were described in the concise manner in this sub-section.

## **ECE Departmental Resources**

Graduate students are expected to discuss all academic issues with their advisers first, in an open and constructive manner. Further help with academic issues is provided by the ECE Graduate Student Affairs Office (Tech, Room L-357 – [ecsggrad@northwestern.edu](mailto:ecsggrad@northwestern.edu)). The staff in the Graduate Office is experienced and can advise you on the course of action and promptly take the measures needed towards successful completion of your degree. Mailboxes maintained by the staff are provided for all graduate students for university-related postal mail and packages only (not for personal use) and are located in the ECE Graduate Student Affairs Office. Each student is expected to show their Wildcard upon pickup, and may only pick up their own mail, not that of another student. It should be made a matter of a habit to check for such mail at least once a month.

Our mailing address is:

Department of Electrical and Computer Engineering  
McCormick School of Engineering  
2145 Sheridan Road, Rm L357  
Evanston, IL 60208

The information about our courses is available at:

<https://www.mccormick.northwestern.edu/electrical-computer/courses/>

Graduate students should make it a habit of checking the above webpage when planning the courses to be taken in the subsequent quarters, and then proceed with a discussion with the respective academic advisers (and populating the data in the Graduate Student Tracking System (GSTS)).

Other useful information (e.g., forms, job posting, announcements of visits by companies/recruiters, etc.) can be found at: <https://www.mccormick.northwestern.edu/electrical-computer/>

ECE organizes various social events throughout the Academic Year for which announcements via email are made regularly.

## 1.2 General Admission Requirements

The primary objective of the admission process in the ECE Department is to determine an applicant's qualifications and judge the applicant's prospects for success in their desired program of study. To maintain a proper balance between department resources and the size of the graduate student population, we must limit offers of admission to the most qualified applicants. Thus, our admission process is highly selective and competitive in nature.

The deadline for **PhD** applications is **December 15** of the respective year for the applicants who wish to be admitted to the program starting in the Fall Quarter of the subsequent academic year. The deadline for **MS** applications is typically the **last week of February** of the year during which an applicant plans to start in the Fall Quarter.

Requests for admission and financial aid for doctoral students are reviewed in the weeks following the application deadline. It is the policy of the department that students begin their programs in Fall Quarter. Under special circumstances, students are allowed to begin in the Winter or Spring Quarter. A typical applicant is expected to have a B.S. in electrical engineering, computer engineering, or a related discipline from a recognized institution. Highly qualified candidates with other academic backgrounds may also be considered. The specific undergraduate preparation required for graduate study depends on the program and the area of specialization. An applicant with insufficient undergraduate preparation in any particular area but well qualified in every other respect may be required to take certain undergraduate courses as soon as possible after enrolling at Northwestern. A student would be informed of such a requirement at the time of admission, along with grade expectations.

The Graduate School (TGS) website <http://www.tgs.northwestern.edu/admission/index.html> provides a means to navigate through the application process for graduate study at Northwestern University. Importantly, note that all applicants for graduate study in the ECE Department must submit verbal, quantitative, and analytical scores from the Graduate Record Examination (GRE). If an applicant has already obtained an MS degree from a U.S. institution, then GRE scores are not needed for PhD admission. However, GRE scores are required for all applicants who wish to be considered for a university fellowship.

## 1.3 Financial Aid

### PhD Students

The policy of the McCormick School is to admit only those students for whom financial support can be provided in the form of Northwestern (e.g. Cabell and Murphy) Fellowships, research assistantships, and teaching assistantships. Students who have financial support from outside institutions or government grants will also be considered for admission. However, if such internal (McCormick, ECE Department) or external (company, institutional fellowship, government) financial support is not provided, then the ECE Department will not recommend admission of the student to The Graduate School.

### Terminal MS Students

The ECE Department encourages terminal MS students, especially from industry, to apply. However, the Department does not provide financial support to terminal MS students. Such students can be supported by a company, government, or an external fellowship, or be self-supported. **MS students are not eligible for teaching assistantships or research assistantships.**

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<sup>1</sup> NOTE: MS applicants are *only* admitted for a Fall Quarter start date. This is to avoid any problems with the course sequence.

## 1.4 Student Responsibilities and Academic Misconduct

It is the responsibility of each graduate student to ensure that all the requirements of The Graduate School (TGS) and the ECE Department are met, given the program he or she selects; that necessary examinations are properly scheduled; and that deadlines dependent on current Northwestern University calendars are observed. The current procedures and degree requirements of the ECE Graduate Programs are detailed in this Manual.

**Students should always consult with the ECE Graduate Student Affairs Office (Tech, L- 357, [eeegrad@northwestern.edu](mailto:eeegrad@northwestern.edu))** first to execute procedures, confirm requirements, and obtain paperwork for exams and various other procedures. In addition, students are strongly urged to consult regularly with their faculty advisers.

Official notices about degree program progress, financial aid and other important notices are sent to each student's official email and mailboxes.

**Students are responsible for checking their official Northwestern email account (<name>@u.northwestern.edu) on a regular basis, no less than once a week.**

**Students are responsible for checking their official department mailbox on a regular basis, no less than once per month.**

**All students at Northwestern University are responsible for knowing the University's policies on academic integrity. The principles and possible consequences of academic misconduct are documented at:**

<https://www.northwestern.edu/provost/policies/academic-integrity/principles.html>

Students found guilty of academic misconduct, such as cheating on coursework or plagiarizing research, by definition are failing to make satisfactory academic progress, and hence subject to be placed on academic probation.

<https://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html>

Students must be particularly vigilant in programming courses. Unless the instructor for the course has explicitly documented otherwise in the course syllabus:

- Code you submit must be your own.
- Copying and adapting someone else's code is not allowed.
- Studying someone else's solution for a specific assigned problem is not allowed.
- This includes code from a friend, an online article, or online code repository.
- Letting another student study your solution is not allowed.

If an instructor concludes that cheating has occurred, he or she will submit the evidence to your dean for adjudication. Penalties for cheating will depend on the specifics of the case. They can range from loss of points on the assignment in question, a reduction in letter grade for the course, or even failure in extreme circumstances.

## 1.5 Graduate Internships & Post-Graduation Employment

A graduate student wishing to combine research work with industrial experience may, with the permission of their adviser, elect to participate in the Crown Family Graduate Internship Program. This experience permits the student to gain a broader understanding of some problems that eventually could serve as the background for a thesis or project. For more information on the Crown Family Graduate Internship Program, see [Section 3.2.e](#) of this Manual.



International students who seek employment in the US upon graduating, who are on an F-1 visa, are in good academic standing, and have a valid I-20 should be aware that the visa status required for legal employment in the US after graduation is called OPT, and it **MUST BE APPLIED FOR 3-4 MONTHS BEFORE GRADUATION**. Without submitting this application in advance, you will not be able to transition smoothly from the F-1 student status to the OPT visa status, and any such interruption may delay or prohibit your employment with a US employer. The International Office (IO) assists students with collection and submission of the required documents. In addition to consulting with your adviser, you should also schedule a meeting at least one month in advance of the OPT submission deadline to ensure you have enough time to collect the needed documentation.

## 2. MS Program

This section discusses the details of the academic aspects of the process of obtaining MS degree with the ECE department. Unless otherwise specified, all the items pertain to both EE and CE majors.

### 2.1 MS Degree Options

Each student pursuing an MS degree in the ECE Department must declare their intention to follow one of the degree plans (A, B, or C) summarized below. The student's declaration is subject to approval by their adviser. We recommend that this declaration be made during the second (Winter) quarter, but we require that it be made no later than May 1st of the third (Spring) academic quarter.

**Plan A (Thesis MS Degree):** In this plan, a student declares their intent to earn the MS degree by completing a formal thesis that reports substantial original research results. Under this plan, a maximum of three units of ELEC\_ENG or COMP\_ENG 590 research credit can be counted toward the 12-unit requirement for the MS degree. All requirements for the thesis MS, including coursework and approval of the thesis by the student's MS Examination Committee, must be successfully completed before the end of the seventh academic quarter (Spring quarter of the second year).

#### Thesis Requirements

A successful thesis has two components: a written document and an oral defense of the research. These are judged by a committee, headed by the thesis adviser, who is the committee head. One week prior to the oral defense, the student must provide a complete draft of the thesis to the committee. The defense should take between 30 minutes and 1 hour. Upon a successful defense, the committee will suggest edits to the document, if any are required.

Once the adviser approves the final thesis, the student must submit the thesis as an ECE technical report. The thesis is not considered complete until this step has been taken. Instructions for submission are at the following link:

<http://www.mccormick.northwestern.edu/eecs/research/tech-reports/submit-technical-report.html>

The minimum MS thesis committee size is two people: the thesis adviser and one other faculty member. There must be at least 2 ECE faculty members on the thesis committee. They must both be on the graduate faculty. The thesis adviser must have a primary or secondary appointment in the student's program of study.

The MS thesis must conform to the formatting guidelines of a doctoral thesis, as specified by the graduate school at the following link:

<https://www.tgs.northwestern.edu/documents/policies/dissertation-format-guidelines.pdf>

There is no specific length for a thesis. Historically, they tend to be roughly 30 pages in the double-spaced graduate school thesis format. This is not a required length. The length must be negotiated with the thesis committee.

**IMPORTANT:** In Spring term, the Graduate School requires **all thesis defenses to be completed ONE MONTH before the end of the quarter** if the student is to graduate in the Spring term. Deadlines in other quarters are not as early. Consult the Office of the Registrar's academic calendar for thesis defense deadlines for each quarter.

**Plan B (Project MS Degree):** In this plan, a student declares their intent to earn the MS degree by completing a project and writing a project report that contains results based on existing theory and techniques or experimental verifications. A maximum of two units of ELEC\_ENG or COMP\_ENG 590 research credit can be counted toward the 12-unit requirement for the MS degree. All requirements for the project MS, including all coursework and approval of the project report by the student's MS Examination Committee,

must be successfully completed before the end of the sixth academic quarter (Winter quarter of the second year).

### **Project Requirements**

A project requires a written final document, approved by an examination committee.

The minimum committee size is 2: the adviser and one other faculty member. There must be at least 2 ECE faculty members on the thesis committee. They must both be on the graduate faculty. The project committee chair must have a primary or secondary appointment in the student's program of study.

Format of project document: It is recommended (but not required) that the project document conform to the formatting guidelines of a doctoral thesis, as specified by the graduate school at the following link:

<https://www.tgs.northwestern.edu/documents/policies/dissertation-format-guidelines.pdf>

Length of project document: There is no specific length for a project document. The length must be negotiated with the committee.

Once the adviser approves the final project document, submitting the project as an ECE technical report is not required.

**Plan C (Course MS Degree):** In this plan, the student must satisfactorily complete 12 courses approved by the student's adviser. The choice of courses must represent a coherent program of study that prepares the student for advanced work in a specific field. All requirements for the course M.S degree must be satisfactorily completed before the end of the fifth academic quarter (Fall quarter of the second year).

Note that ELEC\_ENG 590 and COMP\_ENG 590 cannot be counted as a credit for a course-only based MS degree. The purpose of ELEC\_ENG 590 and COMP\_ENG 590 is to get students involved in research beyond the traditional course experiences. Hence, ELEC\_ENG 590 and COMP\_ENG 590 can only be applied towards the MS project option or the MS thesis option.

**Time Limits:** An MS student who does not meet the plan's completion deadline, and who does not successfully petition the ECE Department for an extension of that deadline, will be placed on academic probation for a maximum of two academic quarters. At that point, the Department retains the option to dismiss the student in question.

## 2.2 Advising and Course Requirements

### Advising

Each MS student is assigned an academic adviser upon admission. However, the student's preferences and interests may change, especially if s/he elects to follow the project or thesis degree plan, which typically entails the completion of one or more ELEC\_ENG 590 or COMP\_ENG 590 research units. This may require the student's transition to a new adviser. Such a transition involves the following steps: (1) The student notifies the current assigned adviser; (2) The ECE Graduate Student Affairs Office records the adviser change in the Graduate Student Tracking System (GSTS).

Each MS student must consult with their adviser before registering online for courses on CAESAR. Failure to do so could result in poor course selection that would delay completion of the student's MS degree, or even result in academic probation due to poor grades.

### Course requirements

Typically, one unit of credit equals one course.

**Twelve (12) units of graduate-level credits are required for any ECE MS degree.**

**All of the 12 units must be at the 300-level or above and must count for graduate coursework.**

- ELEC\_ENG 301 and 302 do not count toward any ECE MS degree. They are intended for undergraduate students only.

**Six (6) of the 12 units must be courses from within the degree program.**

See the program-specific requirements to learn which courses count as within the degree program.

- ELEC\_ENG 499 (independent study) cannot count as a within-program course for any ECE MS degree.

**Three (3) of the 12 units must be at the 400-level or above.**

- ELEC\_ENG 590 research units do not count towards 400-level course credits.
- ELEC\_ENG 499 does count towards the 400-level course requirement.

**Up to three (3) of the 12 units can be from graduate coursework outside ECE or CS**

This is subject to the approval of the student's adviser and the Co-Director of Graduate Studies of the degree program. These units can apply to either the requirement for 300-level or 400-level courses. It is important to consult with the academic adviser prior to enrolling in any course outside ECE.

**Master's students engaged in research should enroll in either 499 or 590.**

- Those wishing to be graded should enroll in ELEC\_ENG 499: Independent Study.
- Those wishing to pursue research without affecting their grade point average should enroll in ELEC\_ENG 590: Thesis Research.
- 499 and 590 may each be taken for a maximum of 3 units.

**Establishing Background:** All MS Students in Electrical Engineering (including BS/MS students) must provide evidence of having sufficient background in the field as follows:

- Must have taken at least three of the following courses or their equivalent at either NU or their home institution: ELEC\_ENG 221, ELEC\_ENG 222, ELEC\_ENG 223, ELEC\_ENG 224, ELEC\_ENG 225, ELEC\_ENG 302 (see course descriptions in the catalog link below)

**Coursework in Electrical Engineering:** To ensure that students receive sufficient training in Electrical Engineering, 6 of the 12 required credits must be for courses taught by faculty whose primary appointment is in Electrical Engineering: <https://catalogs.northwestern.edu/tgs/electrical-engineering/electrical-engineering-ms/>

### **Additional Course Notes**

- A maximum of one credit of Design Technology and Research (COMP\_ENG 315/497) may be applied to the MS degree.
- Courses that can be taken for a letter grade must be taken for a letter grade to be counted towards the degree.
- All coursework for the MS degree must be taken within The Graduate School (TGS) of Northwestern University.
- Coursework must be completed with a composite grade-point average of B (3.0) or higher.
- MS students must consult with their academic adviser before enrolling in courses to avoid taking courses that do not count towards their degree.
- Courses completed for undergraduate credit at Northwestern or elsewhere cannot be repeated for graduate credit.

### **ECE course credit waivers for courses taken previously at another institution**

An MS student may petition to have at most three course credits waived, based on the student's graduate level courses taken previously elsewhere. Only coursework that has **not** been applied to a completed graduate degree will be considered for transfer credit for a MS degree. A petition for such a waiver must include complete documentation (e.g., syllabus, assignments/projects, etc.) of the content of a graduate level course from the student's previous institution that most closely matches the ECE Department course credit to be waived. The student must also provide an official transcript, sent directly to the department, that shows the grade received for each course used for transfer credit.

The coordinator of this ECE Department course will review the petition and make a recommendation. All such waivers are ultimately subject to the approval of the adviser, the ECE Research Group, and the ECE Director of Graduate Studies.

## 2.2.1 TGS Degree Requirements

TGS requires a minimum of nine quality letter-graded (ABC, not P/NP) courses approved for TGS credit (i.e., courses with a career of TGS, as designated in CAESAR). The expectation is that students will complete three quarters of full time registration (defined as three or four course units per quarter). Refer to TGS' website for details:

<http://www.tgs.northwestern.edu/about/policies/masters-degree-requirements.html>

Note that this requirement also applies for the CPT (Curriculum Practical Training), which enables MS students to take a summer internship during their studies.

## 2.2.2 Enrollment Options to Maintain Resident Student Status

There are a number of non-classroom courses that may, at times, be used to maintain residency and student status. Below is a brief guide to these courses. Before enrolling in any non-classroom course, especially a special registration course listed below, be sure to consult with your academic adviser and the ECE graduate student services staff.

**Special Registration Courses:** These courses are used to maintain student status in cases where registering for research or classroom courses is not appropriate.

- TGS 512: This course does not apply to the 12 units that must be completed for any ECE MS degree. It may, however, be a way to save money if a student has already completed the required 12 units and needs to maintain student status while completing a project or thesis. Tuition for TGS 512 is \$100 and students do not need to pay the activity fee. Students may enroll in TGS 512 only if they have completed 12 units. When enrolled in TGS 512, the student cannot enroll in additional classes.
- TGS 500: This course is for advanced doctoral research and may not be applied to the 12 units needed to complete any ECE MS degree. Do not enroll in this course as a MS student.

## 2.3 Degree Completion

To complete the MS degree, students must complete the following steps:

- Complete the Application for Degree (AFD) in GSTS
- Complete the Master's Degree Completion (MDC) form in GSTS
- **For Coursework students:** in the section for Committee Members, students should enter the name of the appropriate Director of Graduate Studies (Prof. Randy Freeman) as the Chair. In either the Co-Chair or Member box, students should enter the name of the ECE MS Director (Prof. Stephen Tarzia).
- **For Project and Thesis students:** students should enter the names of their committee members, and then enter the name of the MS Director (Prof. Stephen Tarzia).
  - One week prior to defending their project or thesis, students should complete the ECE Examination Request Form, which can be found online at <https://www.mccormick.northwestern.edu/electrical-computer/resources/students/forms-documents.html>.
  - The student's file will be checked for any missing documents, grades, etc. The file will be given to the committee chair prior to the defense. After the defense, the file must be signed by all committee members, and the committee chair should return the completed paperwork to the ECE Department Graduate Office.
  - Theses will be collected by the committee chair after successful completion.
- **For ALL students:** students should send an email to [eecsgrad@northwestern.edu](mailto:eecsgrad@northwestern.edu) with a list of the 12 courses they intend to use toward their MS degree. For BS/MS students, please note that these 12 courses must NOT be counted toward the undergraduate degree.

## 2.4 Transfer to a different MS program

Whether it is Computer Engineering or Electrical Engineering, students admitted to an MS program in ECE are expected to complete the program of study to which they applied and were admitted. During the applications process, our admissions committee carefully reviews all the materials submitted, and students are admitted with a belief that they would succeed in the program they were admitted to. Only in very rare cases are MS students allowed to transfer to a different program.

Our MS programs have limited capacity and we only accept a small percentage of applicants. We cannot accept all those who wish to transfer to them from other programs at Northwestern. Only in very rare cases are transfers from an MS in another Northwestern department allowed.

All requests to transfer programs must be approved by both the Co-director of Graduate Studies for the student's current degree program (in the case of a transfer within ECE) or current Director of Graduate Studies (if the student is in a program outside ECE) and the Co-director of Graduate Studies for the desired program. The current adviser will also be consulted during the evaluation of the request. **Transfer is not guaranteed.** If approved, **transfers may require one or more additional quarters of study**, since curriculum progress towards the original program is one of the prerequisites of a transfer request.

Transfer requests are accepted for review after the student has demonstrated success as evidenced by **at least one quarter of graded work** in the current degree program and, at the earliest, transfer requests may be placed in the 2<sup>nd</sup> (typically Winter) quarter - to be effective starting in the 3<sup>rd</sup> (typically Spring) quarter of their first year.

A student should request a program transfer no later than the date by which the students declare their degree plan: May 1 of the academic year of their admission, at the latest. In exceptional cases, requests for transfers will be considered after this date, however, they will be subject to extra review by the student's adviser, the Graduate Committee, and the Director of Graduate Studies.

Students who do not take any courses in the program to which they were admitted will not be considered for transfer until after they complete at least one quarter of graded work in the original program.

As a prerequisite, **a faculty member with primary affiliation in the desired program must express written consent to advise the student.** For Master's students who have selected the Thesis or Project option, this letter must explicitly state the adviser's willingness to advise the student on a multi-quarter research project. Furthermore, students must provide a valid justification for wanting to transfer their program of study that must also be validated by the new adviser.

Requests for program transfer should be signed by the students' academic adviser and submitted to the ECE Graduate Student Affairs Office and will be forwarded to the appropriate Graduate Chair(s) for evaluation. They will evaluate the transfer request by taking various factors into account. These factors include, but are not limited to:

- Success in the original program of study to which the student was admitted.
- The expected ability of the student to successfully complete graduate work in the desired program of study. Relevant evidence includes transcripts and work experience.
- The justification provided by the student for requesting a transfer. This justification must be based on something more substantial than a simple desire to change the title of the degree.
- The strength of the support expressed by a faculty member who has agreed to advise the student in the desired program.

## 2.5 Part-time Graduate Program

Graduate students who are US citizens and permanent residents may pursue their MS studies in the ECE Department on a part-time basis. The United States government does not give student visas to those enrolled part time.

Students should discuss any details with their academic adviser and email the ECE Graduate Student Affairs Office for further information.

## **2.6 Pursuing a PhD After Being Admitted to the MS Program**

There is no guarantee for admission into the PhD program for students who are currently in the terminal MS program. However, successful students may be considered for a transfer. MS students are required to complete at least 2 quarters in the terminal MS program before they can be considered for a transfer to the PhD program. If a student is planning to apply to continue with the PhD program, they should first contact the ECE Graduate Student Affairs Office ([eeegrad@northwestern.edu](mailto:eeegrad@northwestern.edu)). The proper process for application for a transfer will be advised. Each student's case will be evaluated subject to the same procedures that apply to external PhD applicants.

## **2.7 Probation, Exclusion, and Appeal Processes**

Failure to meet academic integrity standards (e.g. cheating on coursework), failure to meet the requirements for academic progress (e.g. maintaining a 3.0 GPA or higher), or failure to meet milestones related to project or thesis work may result in The Graduate School placing a student on probation. Students may petition The Graduate School for an extension of a milestone's deadline if a compelling reason and evidence are provided. Failure to remedy the missing requirements by the given due date may ultimately result in exclusion from The Graduate School and the respective program.

Once a MS student is placed on probation, the ECE department reserves the right to review the student's case and subject the student to additional penalties during probation as it relates to their standing in the ECE degree program, including, but not limited to, loss of funding and/or exclusion from the ECE graduate program. For more information on probation and appeal processes for probation, please refer to **The Graduate School's guidelines**:

<http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html>



## 3 PhD Program

This section covers the official **Milestones (3.1)** in a PhD student career and the **Registration (3.2)** requirements mandated by Northwestern University and the ECE Department for each year. In addition to the requirements outlined below, each Research Interest Group's Program of Study (PS) has additional requirements. See Section 4 (Programs of Study) of this manual for details.

### 3.1 Milestones

Any student not completing milestones in the timeline allotted will be considered not in good standing and therefore will be ineligible for fellowships, traineeships, teaching or research assistantships, and scholarships. Students who do not meet published requirements of satisfactory academic progress may be excluded from The Graduate School (TGS). Students who have taken time off for family or other approved leave will have appropriate accommodations made to adjust their milestones.

For additional information, students may use the following resources:

<http://www.tgs.northwestern.edu/about/policies/phd-degree-requirements.html>

<http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html>

#### 3.1.a Selection of a PhD Adviser

**PhD students are required to have a permanent adviser by the end of their third quarter (typically spring quarter).** To continue as a student in the ECE doctoral program beyond the third quarter of study, every PhD student must have an academic adviser that is willing to serve as their adviser and who has an approved plan for funding. **The adviser must have an academic appointment in ECE.** This includes faculty with a courtesy appointment in ECE.

For PhD students, an initial faculty advocate is assigned to each student at the time of admission to assist with planning the first academic quarter of study. This advocate is most likely the faculty member who advocated for the student's admission to the program and therefore a strong candidate for serving as the student's eventual faculty adviser. The student is not required to select the faculty advocate as their adviser.

The student's adviser will serve as the primary contact with the ECE Department, and should be chosen to match the student's academic program of study and research interests (see Section 4).

If a PhD student decides to change advisers at any point during their studies, their previous adviser and the Director of Graduate Studies (DGS) must be consulted, and the DGS must approve. In each quarter, the study plan should be approved by the student's adviser prior to registration. Graduate courses in electrical engineering and related fields are described in this manual.

A typical full-time program of graduate study is three units per academic quarter. The maximum permitted is four units. All students receiving financial aid in the form of fellowships, research assistantships, or teaching assistantships must register as full-time students.

#### 3.1.b Admission to Candidacy

PhD students must be admitted to candidacy by the end of the third year of study, which falls on the last date of the 12th academic quarter.

A student failing to meet this milestone will not be considered in good academic standing, and therefore will be placed on academic probation, as per TGS Satisfactory Academic Progress webpage noted above.

Admission to candidacy requires meeting the academic requirements of the Program of Study of one of the three ECE Department Research Interest Groups (see Section 4 of this Manual) and passing the Qualifying Exam of that Research Interest Group. Details of these requirements and Qualifying Exams appear in Section 4 of this Manual.

### 3.1.c Qualifying Examination

When a student is ready to take a Research Interest Group's Qualifying Exam, they should submit the PhD Qualifying Exam Form in GSTS. Note that the content of qualifying exams varies across different Programs of Study within the department. Section 4 specifies the requirements for each program of study.

The student should verify the availability of the proposed faculty committee on the date chosen for the exam and obtain all required signatures. Return the completed form to the ECE Graduate Student Affairs Office (Tech L357). The Student Affairs Office staff will submit the necessary information to TGS.

### 3.1.d Prospectus (Dissertation Proposal)

Students must have a prospectus (dissertation proposal) approved by a faculty committee no later than the end of the fourth year of study, which falls on the last date of the 16th academic quarter. A student failing to meet this milestone will not be considered in good academic standing and therefore will be placed on academic probation, per TGS Satisfactory Academic Progress Guidelines (see link in Section 3.1). A minimum of three individuals must serve on this committee. At least two members of this committee, including the committee chair, must be members of the Northwestern University Graduate Faculty (see <http://www.tgs.northwestern.edu/resources-for/faculty/>). At least two members, including the committee chair, must be faculty in the ECE Department. See Section 4 of this manual for any additional Research Interest Group requirements for the committee. Upon formation of the prospectus committee, the student should submit the PhD prospectus form through TGS Forms in GSTS and fill in the **ECE Examination Request Form** available online at <https://www.mccormick.northwestern.edu/electrical-computer/resources/students/forms-documents.html>. Instructions for scheduling an exam time and reserving a room are on this form.

Every PhD candidate is required to prepare a dissertation indicating evidence of original and significant research. Read "Dissertation Formatting Guidelines" that can be downloaded from TGS at this link: <http://www.tgs.northwestern.edu/documents/policies/dissertation-format-guidelines.pdf>

For the Final Exam, a student follows the same procedure as for the PhD Qualifier Exam and PhD Prospectus, although now the student clicks the PhD Final Exam tab in GSTS.

**Four weeks prior to the PhD Final Exam date, the student submits the ECE Examination Request form.** The student must make sure that they have met all the degree requirements of the ECE Department as detailed in this manual and all requirements of The Graduate School, as specified on their website at the link provided at the start of Section 3.1.

An announcement of the student's Final Exam is then posted in the ECE Department. The student's file is checked for any missing documents, grades, etc. that need to be completed for the Final Exam and awarding of the PhD degree. This file is given to the student's adviser prior to the Final Exam and must be in the examination room for reference. Upon conclusion of the Final Exam, this must be signed by all of the committee members. Then, the adviser immediately returns the completed and signed paperwork to the ECE Graduate Student Affairs Office.

Once the PhD dissertation has been approved by the committee, and all subsequent edits and revisions are completed by the student, the student must submit the dissertation online via the ProQuest website: <http://www.etsadmin.com/cgi-bin/home>. At this point, a TGS Student Services representative reviews the formatting and confirms via email that the dissertation is acceptable or notifies the student if changes need to be made.

### 3.1.e Teaching Requirement

In February 2014, the McCormick School of Engineering approved the following PhD teaching requirement effective with the PhD class matriculating in Fall 2014:

- (1) All students earning a PhD degree from a McCormick program must meet one of the following requirements:
  - (a) Serve as an instructor of an undergraduate course, or
  - (b) Serve as a full-time teaching assistant (20 hours a week) in an undergraduate course for at least one quarter, or
  - (c) Serve as a part-time teaching assistant (6-8 hours a week) in an undergraduate course for at least three quarters, or
  - (d) Meet a Departmental teaching requirement that has been approved by The Graduate School.
- (2) Teaching assistant positions must involve some face-to-face contact with students (office hours, lab or problem session, lecturing) in addition to grading.

In addition to options (1a), (1b), and (1c) listed above, PhD students in the ECE Department can choose to satisfy the teaching requirement by registering for two quarters of *Teacher Trainee* (TT) duties. Each TT quarter's work assignment involves a half-time teaching assistantship with some additional class involvement beyond grading homework or staffing a help desk. Typically, during the academic quarter, the TT prepares and presents one class lecture or designs one new homework assignment.

First-time TT students should register for GEN ENG 545 (Teaching Experience) to receive credit for their effort. Second-time TT students should register for GEN ENG 546, which is zero credit but does place on their transcript recognition of their contribution.

Note that a PhD student cannot be both a teaching assistant and a TT in the same academic quarter. Also, registrations in GEN ENG 545 and GEN ENG 546 are only for TT students.

Also note that a student **will not be able to graduate until one of the teaching requirements listed above is fulfilled**. When a student files their thesis proposal, the student must also file a form listing what part of the teaching requirement has been fulfilled at that point and what, if any, teaching requirement has yet to be fulfilled.

## 3.2 Registration and Course Requirements

### 3.2.a The Graduate School Requirements

Full-time registration is three units (courses) per quarter. Typically, students take three courses per academic quarter and may not take more than four courses per quarter.

The Graduate School (TGS) requires PhD students to complete eight academic quarters of full-time registration, consecutively over two years, including summers.

TGS requires a minimum of 9 graded graduate level courses (**note: ECE requires a minimum of 15 graded graduate level courses**). The cumulative grade point average over these graded courses must be a B average (3.0 GPA) or higher.

Prior to meeting the eight quarter registration requirement, students that are not enrolled full-time in graded coursework, should register for *ELEC\_ENG 590 Research* to maintain full-time study. See TGS' General Registration Policies webpage for more detail: <http://www.tgs.northwestern.edu/about/policies/general-registration-policies.html>

After the requirement is met, a student who is receiving funding should begin registering for TGS 500 and not ELEC\_ENG 590. TGS 500 carries a lower tuition rate.

Students who have met the requirement but are not receiving funding during a quarter may register for

TGS 512. This is a low-cost course designed to maintain student status.

Any alterations in the residency timeline can be managed through Leave of Absence requests. Per TGS Continuous Registration Policy (see TGS General Registration Policies webpage link on in the preceding paragraph), all PhD students must be registered at Northwestern University in each of the Fall, Winter and Spring terms until all degree requirements have been completed, including dissertation submission to The Graduate School.

Students receiving financial support (assistantships or fellowships) must be registered as full-time students, including summer quarters. Such students must also maintain satisfactory academic progress, as per TGS Satisfactory Academic Progress Guidelines (see Section 3.1 for the link).

### **3.2.b Common ECE Course Requirements**

The ECE Department requires 15 graded units of graduate coursework for the PhD. Coursework includes ECE 499, but not ELEC\_ENG 590 Research. At least 6 units should be at the 400 or 500 levels, not counting TT credits.

The Graduate School requires eight quarters of full-time registration. This translates to 24 credits of coursework, including the 15 credits of graded coursework. ELEC\_ENG 590 research units make up the remainder of the units required.

A PhD student's adviser or ECE Research Interest Group may require more than the minimum number of courses. In such cases, the number of ELEC\_ENG 590 research units will be reduced correspondingly.

ELEC\_ENG 499 is reserved for projects that are not directly related to the research required for the PhD thesis or for readings in specific subjects for which the ECE Department has no regular courses. Electrical engineering students are limited to two units of ELEC\_ENG 499.

**GEN\_ENG 519:** The National Science Foundation (NSF) requires everyone being paid on NSF grant money to complete Responsible Conduct of Research (RCR) training. For doctoral students, this requirement is satisfied by enrolling in GEN\_ENG 519. All PhD students are required to complete this training in their first year.

### **3.2.c Programs of Study**

Each student must complete a Program of Study that specifies additional course requirements beyond the common requirements for all ECE doctoral students. Section 4 specifies the requirements for each program of study.

### **3.2.d Petitioning for Course Credit or Substitution**

A PhD student may petition to have at most six (6) ECE Department course credits waived based on graduate level courses taken previously elsewhere. This petition must include complete documentation (e.g., syllabus, assignments/projects, etc.) of the content of the course from the student's previous institution that most closely matches the ECE Department course credit to be waived. The student must provide an official transcript, sent directly to the department, that shows the grade received for each course used for transfer credit.

Note, that for every course waived, the student must enroll in one credit of ELEC\_ENG 590 Research in its place. This is because waiving the course does not lessen the registration requirement imposed by The Graduate School.

The coordinator of the equivalent ECE Department course will review the petition and make a recommendation. All such waivers are ultimately subject to the approval of the adviser and the ECE Director of Graduate Studies.

### **3.2.e Internships during Graduate Study: The Crown Family Graduate Internship**

Students who wish to take advantage of an internship opportunity are encouraged to sign up for The

Graduate School General Curriculum *CRDV 510 Crown Family Graduate Internship* course for 0 units. Written approval of the PhD adviser is required for enrollment. Students may register for this course for no more than three academic quarters; no more than two of these quarters may be consecutive.

Enrolling in CRDV 510 when completing an internship maintains the student's full-time status at the university and **carries no tuition cost**.

For more details about the Crown Family Graduate Internship Program, contact the McCormick School's Associate Dean for Graduate Studies and Research or visit the following URL:

<http://www.mccormick.northwestern.edu/students/graduate/fellowships-internships/crown-family.html>

**International students who chose to do an internship must also apply for Curricular Practical Training (CPT) authorization for any off-campus internships.** "Off-campus" is defined as any internship that takes place outside of Northwestern University. For more information on applying for CPT, please visit the Office of International Student and Scholar Services' website:

<http://www.northwestern.edu/international/living-working/student-employment/curricular-practical-training.html>

### 3.3 Probation, Exclusion, and Appeal Processes

Each quarter, students are expected to make satisfactory academic progress. Satisfactory academic progress is defined, in part, as meeting the requirements set by The Graduate School:

<http://www.tgs.northwestern.edu/about/policies/satisfactory-academic-progress.html>

Students are also expected to meet additional ECE requirements for satisfactory progress, as follows:

- Students found to be guilty of academic misconduct (e.g. cheating on coursework or plagiarism of research) are defined as failing to make satisfactory academic progress.
- PhD students are required to have a permanent adviser by the end of their third quarter (typically spring quarter). To continue as a student in the ECE doctoral program beyond the third quarter of study, every PhD student must have an academic adviser with an appointment in ECE and an approved plan for funding.
- If a doctoral student has no adviser by the last day of a given quarter, or if the current adviser has notified the student and the Director of Graduate Studies of unsatisfactory research progress during a given quarter, this will constitute failure to make satisfactory academic progress as defined by the program.
- Students are required to make satisfactory progress towards their thesis as evaluated by their thesis advisers.

Failure to meet requirements for satisfactory progress may result in The Graduate School placing a student on probation.

Students may petition to The Graduate School for an extension of a milestone's deadline if a convincing reason and evidence is provided. Failure to remedy the missing requirements by the given due date may ultimately result in exclusion from The Graduate School and the respective program.

For more information on probation and appeal processes for probation, please refer to The Graduate School's guidelines.

Once a PhD student is placed on probation, the ECE department reserves the right to review the student's case and subject the student to additional penalties during probation as relates to their standing in the ECE degree program including, but not limited to, loss of research assistantship (RA) funding, loss of departmentally funded support such as teaching assistantships (TA), loss of and/or exclusion from the ECE graduate program.

Students who fail to make satisfactory academic progress according to the ECE Program requirements in a given quarter will be put on probation for the following quarter. The student must use the probationary quarter to seek an alternate adviser or improve progress to receive a report of satisfactory progress with their current

adviser. At the end of the probationary quarter, if the student has secured an adviser who can report satisfactory progress, the student will be removed from probationary status. Otherwise the department may make a recommendation of exclusion (dismissal) to The Graduate School. No further funding from the department or adviser will be provided to the student after the end of the probationary quarter.

## 4 Doctoral Programs of Study (PS)

In addition to the common requirements, every ECE doctoral student must select a degree area in which they complete a Program of Study (PS) under a faculty member that specializes in that area. Each program has specific requirements for the coursework, qualification exam, and dissertation prospectus. The Electrical Engineering PhD offer the following Programs of Study.

PhD in Electrical Engineering	4.1 Solid State & Photonics
	4.2 Signals & Systems

Each subsection that follows describes one program of study.

## 4.1 Solid-State and Photonics (Electrical Engineering)

### Research Area Description:

**Solid-state engineering** focuses primarily on the science and technology of semiconductors for quantum structures and devices operating from the ultraviolet up to far infrared. Quantum devices are fabricated using the most advanced semiconductor synthesis technologies (MOCVD, MBE, gas source MBE, etc.), as well as microfabrication techniques (high-precision photolithography, e-beam evaporation, RTA, reactive-ion etching, etc.). The quantum devices are fully tested at each step in the fabrication process using advanced characterization techniques (diffraction, SEM, TEM, photoluminescence, Hall, etc.) Most of the research is performed within the Center for Quantum Devices (CQD), in a clean-room environment similar to what is found in industry. These quantum devices are in high demand by today's applications. Ultraviolet lasers and photodetectors are needed for astronomy, space communications and the monitoring of engines and heat sources. Red, green and blue (RGB) solid-state lasers are needed for high-brightness full-color displays and optical data storage (CD, DVD). High-power 0.808  $\mu\text{m}$ , 0.98  $\mu\text{m}$ , 1.3  $\mu\text{m}$ , and 1.5  $\mu\text{m}$  lasers and VCSELs are needed for medical applications and fiber optical communications. Infrared lasers (e.g. quantum cascade lasers), photodetectors (e.g. QWIPs), and focal plane arrays (FPAs) are needed for chemical analysis and night vision.

**Optical systems and technology** focuses on microcavity lasers, nanostructures, quantum and nonlinear optics, integrated optics, fiber optics and infrared waveguide devices, fiber-optic communications, and imaging through turbulence. Special emphases include: applications of novel quantum amplifiers in optical communications, imaging, and cryptography; devices for terabit per second WDM and TDM optical networks; and applications of computational techniques in integrated and nonlinear optics.

**Faculty:** Aydin, Grayson, Ho, Khalili, Kumar, Mohseni, Plonus, Razeghi, Shahriar, Taflove, and Yuen.

The courses in this area are divided into Core Courses and Area-Specific Courses as follows:

### PhD Coursework

#### Core Courses (Group A)

Each student is required to take **five** of the following ten core courses:

- ELEC\_ENG 382 Photonic Information Processing
- ELEC\_ENG 383 Fiber-Optic Communication
- ELEC\_ENG 388 Microelectronic Technology
- ELEC\_ENG 401 Fundamentals of Electronic Devices
- ELEC\_ENG 402 Advanced Electronic Devices
- ELEC\_ENG 403 Quantum Semiconductors
- ELEC\_ENG 404 Quantum Electronics
- ELEC\_ENG 405 Advanced Photonics
- ELEC\_ENG 406 Nonlinear Optics

#### Area-Specific Courses (Group B)

Elective courses in Solid-State and Photonics include:

- ELEC\_ENG 333 Introduction to Communication Networks



ELEC_ENG 381	Electronic Properties of Materials
ELEC_ENG 384	Solid-state Electronic Devices
ELEC_ENG 385	Optoelectronics
ELEC_ENG 386	Computational Electromagnetics and Photonics
ELEC_ENG 389	Superconductivity and its Applications
ELEC_ENG 407	Quantum Optics
ELEC_ENG 409	Semiconductor Lasers
ELEC_ENG 422	Random Processes in Communications and Control I
ELEC_ENG 423	Random Processes in Communications and Control II
ELEC_ENG 425	Introduction to Nanoscale Lasers, Quantum Noise, Photons, & Measurement
ELEC_ENG 427	Optical Communications
ELEC_ENG 428	Information Theory
ELEC_ENG 429	Selected Topics in Quantum Information Science and Technology
ELEC_ENG 454	Advanced Communications Networks
ES_APPM 411	Differential Equations of Mathematical Physics

With approval of their advisers, students can also take advanced courses (400-level) in Applied Mathematics, Physics and Astronomy, and Materials Science and Engineering, to fulfill the requirements of the Area-Specific Courses.

### **PhD Qualifying Examination**

**Photonics Option:** For PhD students in the Photonics subgroup Program of Study (PS), the PS evaluation will be made by the Solid-State and Photonics PS Committee, appointed by the group director, on the basis of the following criteria:

- (1) the student's performance in coursework;
- (2) the student's performance in research, and
- (3) an oral exam.

The oral examination requirement can be bypassed if so deemed by the student's adviser.

The oral examination is conducted by a team selected by the PS committee and consists of at least three faculty members with expertise in the examination area. Some of the committee members can be faculty members from outside the ECE Department. The exam is offered once each year, and students must sign up for the exam with the director of the PS committee. A student is given two attempts to pass the PS evaluation. However, each student must get the PS evaluation done by the end of the student's second year in order to continue in the PhD program. Extension of this deadline for up to one year may be granted by the EE Co Director of Graduate Studies if requested by the student's adviser.

**SSE Option:** For PhD students in the Solid-State Engineering (SSE) subgroup, the PS evaluation, the student's adviser approves the exam and selects the qualifying exam committee members from experts in the field. At least three committee members must be from the ECE Department. The committee evaluation is based on the student's performance in coursework, an oral presentation, and a written proposal detailing the student's future research plans. The qualifying exam must be approved no later than just before the beginning of the fourth year of study (or end of third year).

### **PhD Prospectus**

In addition to the PS evaluation, a student must get a thesis prospectus approved by the end of the fourth year. The procedure for this approval is as follows. The student selects three faculty members, one of which must be their adviser, for the thesis committee. The student produces a written proposal and makes a presentation to this committee. Following an evaluation of the written proposal and the performance of the student during the presentation, the committee decides on approving the prospectus. A student is given two attempts to receive this approval.

### **PhD Dissertation**

Dissertations must be formatted according to the [Dissertation Formatting Guidelines document](#). Dissertations not conforming to these instructions will not be accepted: <http://www.tgs.northwestern.edu/about/policies/phd-degree-requirements.html#dissertation>

## 4.2 Signals & Systems (Electrical Engineering)

### Research Area Description:

**Communications, Networks, and Control** focuses on communications, telecommunications and communication networks, and control theory. Specific areas of study include: mobile wireless multi- user communication, estimation and detection, wireless networks, resource allocation in communication networks, data network protocol design, network performance modeling and analysis, nonlinear and robust control, and stochastic hybrid systems.

**Signal Processing** focuses on the digital representation and algorithmic manipulation of speech, audio, image and video signals. Specific topics within this general area include: image and video processing; recovery and compression; multimedia signal processing; filter design and rank-order operators; image and video transmission; medical and biomedical signal processing; medical imaging; and, algorithms for medical instrumentation.

**Faculty:** Berry, Butz, Cossairt, Freeman, Guo, Honig, Katsaggelos, Lee, Mikhelson, Pappas, Sahakian, Wei, Wu

### Coursework Requirements

Each student must complete a sequence of courses in an area of specialization according to the recommendation of the adviser. These courses may be in Signals & Systems and other areas. Courses in Signals & Systems may include:

- ELEC\_ENG 307 Communications Systems
- ELEC\_ENG 332 Introduction to Computer Vision
- ELEC\_ENG 333 Introduction to Communication Networks
- ELEC\_ENG 359 Digital Signal Processing
- ELEC\_ENG 360 Introduction to Feedback Systems
- ELEC\_ENG 363 Digital Filtering
- ELEC\_ENG 374 Introduction to Digital Control
- ELEC\_ENG 378 Digital Communications
- ELEC\_ENG 380 Wireless Communication
- ELEC\_ENG 410 System Theory
- ELEC\_ENG 422 Random Processes in Communication and Control I
- ELEC\_ENG 420 Digital Image Processing
- ELEC\_ENG 418 Advanced Digital Signal Processing
- ELEC\_ENG 420 Digital Image Processing
- ELEC\_ENG 421 Multimedia Signal Processing
- ELEC\_ENG 423 Random Processes in Communications and Control II
- ELEC\_ENG 426 Signal Detection and Estimation
- ELEC\_ENG 427 Optical Communications
- ELEC\_ENG 428 Information Theory

ELEC\_ENG 432 Advanced Computer Vision  
ELEC\_ENG 454 Advanced Communication Networks  
ELEC\_ENG 478 Advanced Digital Communications  
BMD\_ENG 383 Cardiovascular Instrumentation  
BMD\_ENG 384 Biomedical Computing  
BMD\_ENG 401 Systems Physiology  
BMD\_ENG 402 Advanced Systems Physiology

### **PhD Qualifying Exam**

To become a Ph.D. candidate, each student must pass the Ph.D. qualifying exam.

The following exam format, content, and schedule applies to all students who enter the program after Fall 2019. Students entering Fall 2019 or before are encouraged to select this format and should discuss their options with their advisor.

#### 1. Format

Each student will be examined by a panel of at least three faculty including the student's advisor. Questions and answers will be presented orally, and the panel will be selected by the division director in consultation with other faculty. The length of the exam is expected to last one hour, including an oral presentation and Q&A. The examination will be open only to faculty within the division.

#### 2. Content

The student will be asked to give a 1/2 hour presentation summarizing the contents of at least one research paper. The committee will choose the paper(s) with input from the student. The panel may ask questions during and following the presentation, which probe the student's understanding of the results along with associated background and course material. The student will be asked to specify four 400-level classes, which will form the basis for background Q&A. These must be approved by the committee. It is strongly encouraged that these be selected from the following subset of ECE classes within the Signals and Systems area:

410, 420 or 421, 422, 424, 426, 428, 432, 454, 463, 475, 495 "Cardiovascular Instrumentation".

#### 3. Schedule

For students directly admitted into the PhD program, the exam must be taken by the end of the fall quarter in their second year. An MS student who switches to the PhD program follows the same rule where the year they switch is counted as the first year. Each student must submit a request to take the exam. Ideally, the request should be submitted ten weeks before the intended exam date.

The paper(s) for the oral presentation will be given to the student six weeks before the exam date.

The possible outcomes are pass, fail, and retake.

The panel will submit a recommendation to the division, which will make the final decision. If the outcome is fail, then the student will not have an opportunity to retake the exam.

If the outcome is retake, then the format and date of the retake will be decided by the committee, and the retake must be completed by the end of spring quarter in the second year. The outcome of the retake is pass or fail.

### **PhD Prospectus**

In the Signals & Systems Group, the prospectus is the student's proposal defense. The proposal is a written

document describing the student's PhD research topic, with background and prior work and proposed work. The proposal defense is an event during which the student presents the proposal to their PhD committee who then decide whether or not to pass the student either conditionally or unconditionally. Upon passing the prospectus the student then completes the research and writes and eventually defends the PhD thesis.

### **PhD Dissertation**

Dissertations must be formatted according to the [Dissertation Formatting Guidelines document](#). Dissertations not conforming to these instructions will not be accepted: <http://www.tgs.northwestern.edu/about/policies/phd-degree-requirements.html#dissertation>